**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.

2462-138US

In Re Application: **KODA, Yoshiharu and KODA, Kojiro**

Serial No.

10/679,519

Filing Date

10/6/2003

Examiner

Group Art Unit

**ENDOSCOPIC AUDITORY CANAL CLEANING APPARATUS****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Dated:

**1/6/04**

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JAN 09 2004  
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Title: **ENDOSCOPIC AUDITORY CANAL CLEANING APPARATUS**

Address to:

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

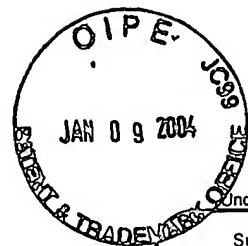
**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 1

**Complete if Known**

Application Number	10/679519
Filing Date	10/6/2003
First Named Inventor	Yoshiharu KODA
Art Unit	
Examiner Name	
Attorney Docket Number	2462-138US

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	US- 09/713,723	11/15/2000	Yoshiharu Koda	All
	2	US- 6,059,719	05-09-2000	Yamamoto et al.	All
	3	US- 6,093,155	07-25-2000	Ouchi	All
	4	US- 3,638,643	02-02-1972	Hotchkiss	All
	5	US- 5,060,632	10-29-1991	Hibino et al.	All
	6	US- 5,325,847	07-05-1994	Matsuno	All
	7	US- 5,961,441	10-05-1999	Plumb et al.	All
	8	US- 5,762,605	06-09-1998	Cane et al.	All
	9	US- 5,938,590	08-17-1999	Elliott	All
	10	US- 5,209,757	05-11-1993	Krug et al.	All
	11	US- 5,916,150	06-29-1999	Sillman	All
	12	US- 6,155,987	12-05-2000	Scherl	All
	13	US- 5,888,199	03-30-1999	Karel et al.	All
	14	US- 6,500,114 B1	12-31-2002	Pititto et al.	All
		US-			
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		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	15	JP-HEI2(1990)-132494	11-02-1990	Shimo Yashuhiro	All	
	16	JP-HEI6(1994)-269474	09-27-1994	MOCHIDA PHARM.	All	
	17	JP-HEI8(1996)-173382	07-09-1996	READ MAX SHIYA	ALL	
	18	WO-97/33530	09-18-1997	PARK, Seug Nam	ALL	

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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